YOUR FAMILY COMPASS

10 QUESTIONS TO GUIDE YOUR FAMILY LEGACY

A VALUES BASED
FAMILY JOURNAL

COMPLIMENTS OF ELLIS WEALTH MANAGEMENT, LLC
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This Resource is provided as part of the Family Wealth Podcast Series in collaborative effort of Ellis Wealth Management, EWM Insights, and Nuveen, A TIAA Company.

EWM Insights can be found at: https://ewm-insights-love.captivate.fm/

Paul Bertrand Ellis, CIMA®
President and Managing Director

Paul Bertrand Ellis is an accomplished 25 year financial industry veteran.
In addition to various investment and insurance licenses, he holds certification as a Certified Investment Management Analyst (CIMA®) through Investments & Wealth Institute and the Wharton School of the Universityof Pennsylvania.

In his practice, Paul provides a values-based approach focusing on high net worth wealth management. Professional service and open communication make Paul a personal advocate and passionate client portfolio director.

Paul is an active member of the Investments & Wealth Institute, and member of the Mukilteo Chamber of Commerce.

His volunteer activity has included serving as Co- Chair of the U.W Foster School of Business' Center for Entrepreneurship and Business Development, and Past -President of the Office of Minority Affairs Friends of the Educational Opportunity Program at the University of Washington.

Paul also participates in various local community projects and initiatives.
As a graduate of the University of Washington, Paul strives to help students achieve their potential through learning and growth opportunities.

Seattle Magazine has consistently named Paul to The Best Wealth Managers List and he has been named among America's Most Honored Professionals by American Registry.

Paul hosts the EWM INSIGHTS podcast which can be found on
Apple Podcasts, Amazon, Google, and Spotify podcasting networks.

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"INVEST IN WHAT YOU LOVE"®

James A. Bergeron, J.D.

Advisor Education Consultant

Jim is an Advisor Education Consultant in the Global Learning and Development group where he and the team focus on developing and delivering intellectual capital designed to help wealth management firms and advisory practices evolve and enhance their relationships with clients.

Prior to joining Nuveen, Jim was the General Manager of Ameriprise Personal Trust Services. While at Ameriprise he used his experience in financial services, strategic and high net worth planning and product development to further enhance trust and wealth management results. Prior to Ameriprise Jim worked in advisory product development roles covering a diverse range of client financial solutions. Jim has lectured extensively and is an invited speaker at national and international conferences demonstrating techniques to actualize wealth management concepts.

Jim is a graduate of Augsburg University in Minneapolis with degrees in Economics and Political Science and later received his Law Degree from Vanderbilt University in Nashville, TN. Jim currently serves on the Northern Regional Council for the American Cancer Society.

1. Define Your Family's Goals and Priorities

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2. What's Most important to you and your family? Individually?

3. What are each family members' most important values? (ie. Spouse, Children, Others)

4. Describe the MOST Important Qualities & Characteristics Family Members Should Possess

5. What Characteristics Do You Want Your Children & Grandchildren to Have as they Grow Up?

6. How Do You Want to Build Relationships In Your Family? [Start with easy conversations]

7. As a Family, How Can You Support Each Other?

8. Name Three (3) Things You Think You Could Do Better as a Family. (Look at opportunities).

9. What Would People Say About Your Collective Family Today?

10. What is the Legacy Your Family Would Like to Leave? [Not just Economic, rather, Things that Matter Most]

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"Invest in What You Love!"®

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Certified Investment Management Analyst (CIMA) license belongs to an elite few in the investment profession. Awarded by the Investment Management Consultants Association, it represents a high level of credibility, integrity, and most importantly, knowledge. CIMA licensees must succeed in a rigorous academic program offered only through the prestigious Wharton School of the University of Pennsylvania, and maintain their credential through extensive continuing education.

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