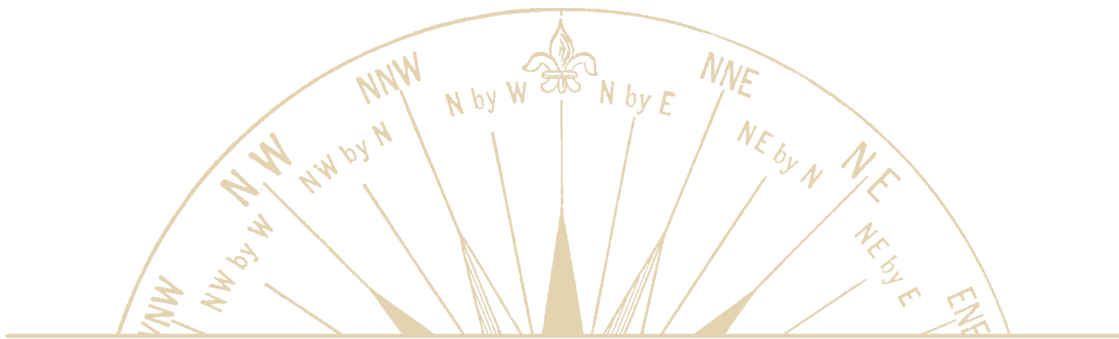


YOUR FAMILY COMPASS

10 QUESTIONS TO GUIDE YOUR FAMILY LEGACY



A VALUES BASED
FAMILY JOURNAL



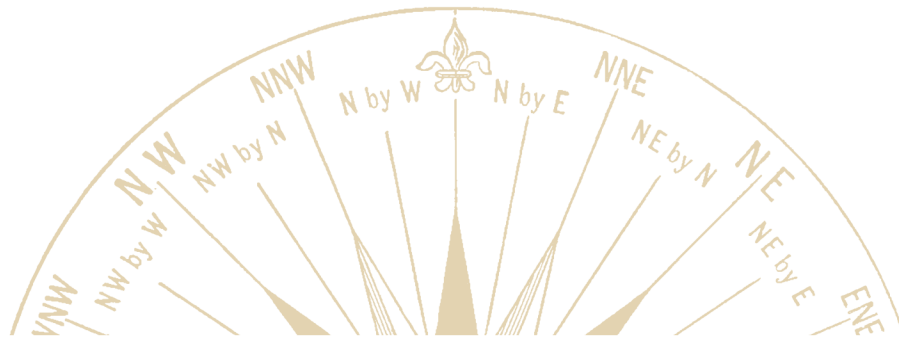
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Paul Bertrand Ellis, CIMA®
President and Managing Director

Paul Bertrand Ellis is an accomplished 25 year financial industry veteran.

In addition to various investment and insurance licenses, he holds certification as a Certified Investment Management Analyst (CIMA®) through Investments & Wealth Institute and the Wharton School of the University of Pennsylvania.

In his practice, Paul provides a values-based approach focusing on high net worth wealth management. Professional service and open communication make Paul a personal advocate and passionate client portfolio director.

Paul is an active member of the Investments & Wealth Institute, and member of the Mukilteo Chamber of Commerce.

His volunteer activity has included serving as Co-Chair of the U.W Foster School of Business' Center for Entrepreneurship and Business Development, and Past-President of the Office of Minority Affairs Friends of the Educational Opportunity Program at the University of Washington.

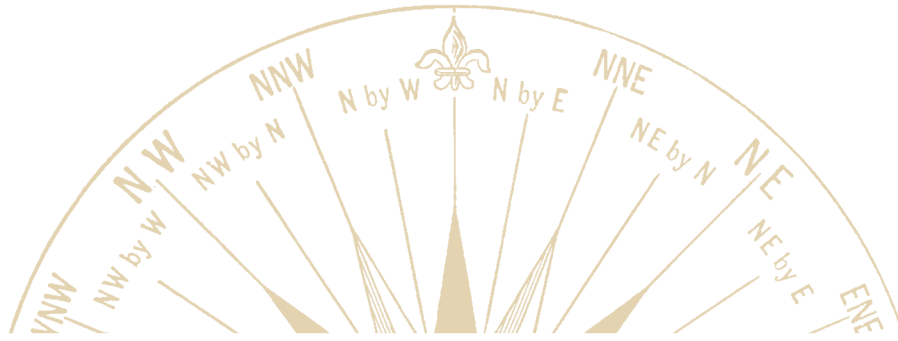
Paul also participates in various local community projects and initiatives. As a graduate of the University of Washington, Paul strives to help students achieve their potential through learning and growth opportunities.

Seattle Magazine has consistently named Paul to The Best Wealth Managers List and he has been named among America's Most Honored Professionals by American Registry.

Paul hosts the EWM INSIGHTS podcast which can be found on Apple Podcasts, Amazon, Google, and Spotify podcasting networks.

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James A. Bergeron, J.D.

Advisor Education Consultant

Jim is an Advisor Education Consultant in the Global Learning and Development group where he and the team focus on developing and delivering intellectual capital designed to help wealth management firms and advisory practices evolve and enhance their relationships with clients.

Prior to joining Nuveen, Jim was the General Manager of Ameriprise Personal Trust Services. While at Ameriprise he used his experience in financial services, strategic and high net worth planning and product development to further enhance trust and wealth management results. Prior to Ameriprise Jim worked in advisory product development roles covering a diverse range of client financial solutions. Jim has lectured extensively and is an invited speaker at national and international conferences demonstrating techniques to actualize wealth management concepts.

Jim is a graduate of Augsburg University in Minneapolis with degrees in Economics and Political Science and later received his Law Degree from Vanderbilt University in Nashville, TN. Jim currently serves on the Northern Regional Council for the American Cancer Society.

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